MAY 21 2004 CA

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (37 CFR 1.63)

Declaration
Submitted
with Initial
Filing

OR

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16(e))
required)

Attorney Docket Numl	ber 6570P048
First Named Inventor	Reinhold Kautzleben
C	OMPLETE IF KNOWN
Application Number	10/749,733
Filing Date	December 30, 2003
Art Unit	Unassigned
Examiner Name	Unassigned

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below, next to my name.

I believe I am the original and first inventor (if only one name listed below) or an original and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Management Architecture and Method Employed Within A Cluste	red Node Configuration
(Title of the Invention)	
he specification of which	
☐ is attached hereto.	
OR	
was filed on (if applicable):	
or <u>12/30/2003</u> as United States Application Number PCT International Application Number	10/749,733
and was amended on	(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above.

I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application. I do not know and do not believe that the claimed invention was in public use or on sale in the United States of America more than one year prior to this application, nor do I know or believe that the invention has been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, or inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
				☐ Yes ☐ No
		;		☐ Yes ☐ No
				☐ Yes ☐ No
				☐ Yes ☐ No
				☐ Yes ☐ No

I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith.

Direct all co	orrespondence to:	Customer Numb	oer 08791	or 🛚	Correspond	ence address below
Name	Thomas C. Webster Blakely, Sokoloff, Tay	olor & Zafman L	LP			
Address	12400 Wilshire Boule	vard, 7th Floor				
City	Los Angeles	Si	ate California		Zip Code	90025
Country	USA	Teleph	one (408) 720-830	0	Fax	(408) 720-8383

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

R FIRST INVENTO	OR: A petition has I	been filed for	this undersigned inventor	
ne: Reinhold Kautzleben				
(First, Mi	iddle [if any], Family Name	(or Surname), a	nd Suffix [if any])	
l	Cunhh	Date	26.03.2004	
Karlsruhe, Germany	у	Citizenship	Germany	
(City, State, Co.			(Country)	
Welfenstrasse 13				
76137 Karlsruhe, Ge				
	(First, M (First, M (City, German (City, State, Welfenstrasse 13	Reinhold Karlsruhe, Germany (City, State, Country)	(First, Middle [if any], Family Name (or Surname), a Karlsruhe, Germany (City, State, Country) Welfenstrasse 13	

NAME OF SECON	DINVENTOR:	filed for this un	dersigned inventor
Full Name:	Gregor		
	(First, Middle [if any], Family Nam		2 2
Inventor's Signature	E Konge Krou	Date 2	6.3.04
	18		_
Residence 6465	3 Lorsch, Germany	Citizenship	The second secon
	(City, State, Country)		(Country)
Mailing Address	Friedrich-Ebert-Strasse 9 A		
	64653 Lorsch, Germany		
NAME OF THIRD I	NVENTOR:	filed for this un	dersigned inventor
Full Name:	Miroslav	R. Petrov	
	(First, Middle [if any], Family Nam	ie (or Surname), a	nd Suffix [if any])
Inventor's Signature	e	Date	
Ü			
Residence 1734	Sofia, Bulgaria	Citizenship	Bulgaria
	(City, State, Country)	•	(Country)
Mailing Address	"Studentsi grad", bl. 52 entr. A, room 609		
_	1734 Sofia, Bulgaria		
NAME OF FOURTI	H INVENTOR: A petition has been to		-
Inventor's Signature		Date	na Sujjix [ij anyj)
Docidonas		O:4:	
Residence	(City, State, Country)	Citizenship	
Mailing Address	(City, State, Country)		(Country)
Mailing Address			
		•	
NAME OF FIFTH II	NVENTOR: A petition has been to	filed for this un	dersigned inventor
Full Name:			
	(First, Middle [if any], Family Nam	ne (or Surname). a	nd Suffix [if any])
Inventor's Signature	a	Date	
ontoi o oignature			
Residence		Citizenship	
	(City, State, Country)	Chileding	(Country)
Mailing Address			, , , , , ,
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-3-

Docket No. 6570P048



Appendix A

I hereby appoint with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith, BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP, a firm including: William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; Vincent H. Anderson, Reg. No. 54,962; Anthony H. Azure, Reg. No. 52,580; W. Thomas Babbitt, Reg. No. 39,591; Jordan M. Becker, Reg. No. 39,602; Todd M. Becker, Reg. No. 43,487; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Cory G. Claassen, Reg. No. 50,296; Thomas M. Coester, Reg. No. 39,637; Mimi D. Dao, Reg. No. 45,628; Stephen M. De Klerk, Reg. No. 46,503; Daniel M. De Vos, Reg. No. 37,813; Elena B. Dreszer, Reg. No. 55,128; Sanjeet Dutta, Reg. No. 46,145; Nathan P. Elder. Reg. No. 55,150; Tarek N. Fahmi, Reg. No. 41,402; Thomas S. Ferrill, Reg. No. 42,532; Adam Furst, Reg. No. 51,710; Angelo J. Gaz, Reg. No. 45,907; Andre M. Gibbs, Reg. No. 47,593; James Y. Go, Reg. No. 40,621; Jason R. Graff, Reg. No. 54,134; Arlen M. Hartounian, Reg. No. 52,997; Jeffery Scott Heileson, Reg. No. 46,765; James A. Henry, Reg. No. 41,064; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; Aslam A. Jaffery, Reg. No. 51,841; Walter T. Kim, Reg. No. 42,731; Eric T. King, Reg. No. 44,188; Steven Laut, Reg. No. 47,736; Suk S. Lee, Reg. No. 47,745; Gordon R. Lindeen III, Reg. No. 33,192; Jan Carol Little-Washington, Reg. No. 41,181; Joseph Lutz, Reg. No. 43,765; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, Reg. No. 48,095; Paul A. Mendonsa, Reg. No. 42,879; Jonathan S. Miller, Reg. No. 48,534; Heather M. Molleur, Reg. No. 50,432; Richard A. Nakashima, Reg. No. 42,023; Thinh V. Nguyen, Reg. No. 42,034; Robert B. O'Rourke, Reg. No. 46,972; Daniel E. Ovanezian, Reg. No. 41,236; Philip A. Pedigo, Reg. No. 52,107; Marina G. Portnova, Reg. No. 45,750; Jon C. Reali, Reg. No. 54,391; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Kevin G. Shao, Reg. No. 45,095; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Edwin H. Taylor, Reg. No. 25,129; Lisa Tom, Reg. No. 52,291; Mark C. Van Ness, Reg. No. 39,865; Thomas A. Van Zandt, Reg. No. 43,219; Mark R. Vatuone, Reg. No. 53,719; Lester J. Vincent, Reg. No. 31,460; John P. Ward, Reg. No. 40,216; Mark L. Watson, Reg. No. 46,322; Thomas C. Webster, Reg. No. 46,154; Chui-Kiu Teresa Wong, Reg. No. 48,042; and Norman Zafman, Reg. No. 26,250, my patent attorneys, and Chze Koon Chua, 53,831; Brent Vecchia, Reg. No. 48,011 and Lehua Wang, Reg. No. 48,023, my patent agents, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800; and James R. Thein, Reg. No. 31,710, my patent attorney, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith. I also appoint Shailendra Bhumralkar, Reg. No. 38,381; Timothy Crean, Reg. No. 37,116; Anthony L. DiBartolomeo, Reg. No. 37,308; Christopher L. Faye, Reg. No. 43,608; Kevin M. Curran, Reg. No. 43,571; Thomas A. Hassing, Reg. No. 36,159; Joseph A. Root, Reg. No. 30,678; Naomi Voegtli, Reg. No. 44,371; Jurgen K. Vollrath, Reg. No. 49,098; my patent attorneys and my patent agents, of SAP AG; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.



Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information, which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
 - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

MAN 2 1 2004 DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION (37 CFR 1.63)

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required)

Attorney Docket Num	ber 6570P048			
First Named Inventor Reinhold Kautzleben				
COMPLETE IF KNOWN				
Application Number	10/749,733			
Filing Date	December 30, 2003			
Art Unit	Unassigned			
Examiner Name	Unassigned			

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below, next to my name.

I believe I am the original and first inventor (if only one name listed below) or an original and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Management Architecture and Method Employed Within A Cluste	red Node Configuration
(Title of the Invention)	
the specification of which	
is attached hereto.	
OR	
was filed on (if applicable):	
or <u>12/30/2003</u> as United States Application Number PCT International Application Number	10/749,733
and was amended on	(if applicable)

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Prior Foreign	Application(S):
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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
				☐ Yes ☐ No
				☐ Yes ☐ No
				☐ Yes ☐ No
				☐ Yes ☐ No
				☐ Yes ☐ No

I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith.

Direct all co	orrespondence to:	☑ Customer Nu	mber 08791	or 🛛	Correspond	ence address below
Name	Thomas C. Webs Blakely, Sokolofi	ter f, Taylor & Zafmar	ı LLP			
Address	12400 Wilshire B	Boulevard, 7th Floo	or			
City	Los Angeles		State California		Zip Code	90025
Country	USA	Tele	phone (408) 720-830	0	Fax	(408) 720-8383

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

OR FIRST INVENTOR:	has been filed for t	his undersigned inventor
Reinho	ld Kautzleben	
(First, Middle [if any], Family	Name (or Surname), and	d Suffix [if any])
re	Date	
37 Karlsruhe, Germany	Citizenship	Germany
(City, State, Country)		(Country)
Welfenstrasse 13		
76137 Karlsruhe, Germany		
	Reinho (First, Middle [if any], Family and the second sec	37 Karlsruhe, Germany Citizenship (City, State, Country) Welfenstrasse 13

Full Name:	Cre	gor K. Frey		
		Name (or Surname), and Suffix	[if any])	
Inventor's Signatur	** **			
Residence 6465	53 Lorsch, Germany	Citizenship Germa	ny	
	(City, State, Country)	· · · · · · · · · · · · · · · · · · ·	(Country)	
Mailing Address	Friedrich-Ebert-Strasse 9 A			
	64653 Lorsch, Germany			
NAME OF THIRD	INVENTOR:	een filed for this undersigr	ed inventor	
Tull Name.	DAC	olon D. Dodnom		
Full Name:		slav R. Petrov Name (or Surname), and Suffix	· fif anvl)	
Inventor's Signatur	1	· · · · · · · · · · · · · · · · · · ·	. [ij any]) 4 . 200 Y	
inventor's Signatur		Date 23.0	1.22-1	
Residence 1734	4 Sofia, Bulgaria	Citizenship Bulgar	ria	
	(City, State, Country)	· · · · · · · · · · · · · · · · · · ·	(Country)	
Mailing Address	"Studentsi grad", bl. 52 entr. A, room 6	09		
	1734 Sofia, Bulgaria			
NAME OF FOURT	H INVENTOR:	een filed for this undersigr	ned inventor	
Full Name:	(First, Middle [if any], Family	Name (or Surname), and Suffix		
Full Name:	(First, Middle [if any], Family			
Full Name: Inventor's Signatur	(First, Middle [if any], Family	Name (or Surname), and Suffix		
Full Name: Inventor's Signatur	(First, Middle [if any], Family	Name (or Surname), and Suffix Date		
Full Name: Inventor's Signatur Residence	(First, Middle [if any], Family	Name (or Surname), and Suffix Date	[if any])	
NAME OF FOURT Full Name: Inventor's Signatur Residence Mailing Address	(First, Middle [if any], Family e (City , State, Country)	Name (or Surname), and Suffix Date Citizenship	[if any]) (Country)	
Full Name: Inventor's Signatur Residence Mailing Address	(First, Middle [if any], Family e (City , State, Country)	Name (or Surname), and Suffix Date	[if any]) (Country)	
Full Name: Inventor's Signatur Residence Mailing Address NAME OF FIFTH I	(First, Middle [if any], Family e (City , State, Country) NVENTOR:	Name (or Surname), and Suffix Date Citizenship een filed for this undersign	(Country)	
Full Name: Inventor's Signatur Residence Mailing Address NAME OF FIFTH I	(First, Middle [if any], Family e (City, State, Country) NVENTOR:	Name (or Surname), and Suffix Date Citizenship een filed for this undersign Name (or Surname), and Suffix	(Country)	
Full Name: Inventor's Signatur Residence Mailing Address NAME OF FIFTH I Full Name:	(First, Middle [if any], Family e (City, State, Country) NVENTOR:	Name (or Surname), and Suffix Date Citizenship een filed for this undersign	(Country)	
Full Name: Inventor's Signatur Residence Mailing Address NAME OF FIFTH I Full Name: Inventor's Signatur	(First, Middle [if any], Family e (City, State, Country) NVENTOR:	Name (or Surname), and Suffix Date Citizenship een filed for this undersign Name (or Surname), and Suffix Date	(Country)	
Full Name: Inventor's Signatur Residence	(First, Middle [if any], Family e (City, State, Country) NVENTOR:	Name (or Surname), and Suffix Date Citizenship een filed for this undersign Name (or Surname), and Suffix	(Country)	
Full Name: Inventor's Signatur Residence Mailing Address NAME OF FIFTH I Full Name: Inventor's Signatur	(First, Middle [if any], Family e (City, State, Country) NVENTOR:	Name (or Surname), and Suffix Date Citizenship een filed for this undersign Name (or Surname), and Suffix Date	(Country) med inventor fif any])	
Full Name: Inventor's Signatur Residence Mailing Address NAME OF FIFTH I Full Name: Inventor's Signatur Residence	(First, Middle [if any], Family e (City, State, Country) NVENTOR:	Name (or Surname), and Suffix Date Citizenship een filed for this undersign Name (or Surname), and Suffix Date	(Country) med inventor fif any])	



Appendix A

I hereby appoint with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith, BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP, a firm including: William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; Vincent H. Anderson, Reg. No. 54,962; Anthony H. Azure, Reg. No. 52,580; W. Thomas Babbitt, Reg. No. 39,591; Jordan M. Becker, Reg. No. 39,602; Todd M. Becker, Reg. No. 43,487; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Cory G. Claassen, Reg. No. 50,296; Thomas M. Coester, Reg. No. 39,637; Mimi D. Dao, Reg. No. 45,628; Stephen M. De Klerk, Reg. No. 46,503; Daniel M. De Vos, Reg. No. 37,813; Elena B. Dreszer, Reg. No. 55,128; Sanjeet Dutta, Reg. No. 46,145; Nathan P. Elder, Reg. No. 55,150; Tarek N. Fahmi, Reg. No. 41,402; Thomas S. Ferrill, Reg. No. 42,532; Adam Furst, Reg. No. 51,710; Angelo J. Gaz, Reg. No. 45,907; Andre M. Gibbs, Reg. No. 47,593; James Y. Go, Reg. No. 40,621; Jason R. Graff, Reg. No. 54,134; Arlen M. Hartounian, Reg. No. 52,997; Jeffery Scott Heileson, Reg. No. 46,765; James A. Henry, Reg. No. 41,064; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; Aslam A. Jaffery, Reg. No. 51,841; Walter T. Kim, Reg. No. 42,731; Eric T. King, Reg. No. 44,188; Mark A. Kupanoff, Reg. No. 55,349; Steven Laut, Reg. No. 47,736; Suk S. Lee, Reg. No. 47,745; Gordon R. Lindeen III, Reg. No. 33,192; Jan Carol Little-Washington, Reg. No. 41,181; Joseph Lutz, Reg. No. 43,765; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, Reg. No. 48,095; Paul A. Mendonsa, Reg. No. 42,879; Jonathan S. Miller, Reg. No. 48,534; Heather M. Molleur, Reg. No. 50,432; Richard A. Nakashima, Reg. No. 42,023; Thinh V. Nguyen, Reg. No. 42,034; Robert B. O'Rourke, Reg. No. 46,972; Daniel E. Ovanezian, Reg. No. 41,236; Philip A. Pedigo, Reg. No. 52,107; Marina G. Portnova, Reg. No. 45,750; Jon C. Reali, Reg. No. 54,391; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Kevin G. Shao, Reg. No. 45,095; Stanley W. Sokoloff, Reg. No. 25,128; Judith A. Szepesi, Reg. No. 39,393; Edwin H. Taylor, Reg. No. 25,129; Lisa Tom, Reg. No. 52,291; Mark C. Van Ness, Reg. No. 39,865; Thomas A. Van Zandt, Reg. No. 43,219; Mark R. Vatuone, Reg. No. 53,719; Lester J. Vincent, Reg. No. 31,460; John P. Ward, Reg. No. 40,216; Mark L. Watson, Reg. No. 46,322; Thomas C. Webster, Reg. No. 46,154; Chui-Kiu Teresa Wong, Reg. No. 48,042; and Norman Zafman, Reg. No. 26,250, my patent attorneys, and Chze Koon Chua, 53,831; Brent Vecchia, Reg. No. 48,011 and Lehua Wang, Reg. No. 48,023, my patent agents, with offices located at 12400 Wilshire Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800; and James R. Thein, Reg. No. 31,710, my patent attorney, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith. I also appoint Shailendra Bhumralkar, Reg. No. 38,381; Timothy Crean, Reg. No. 37,116; Anthony L. DiBartolomeo, Reg. No. 37,308; Christopher L. Faye, Reg. No. 43,608; Kevin M. Curran, Reg. No. 43,571; Thomas A. Hassing, Reg. No. 36,159; Joseph A. Root, Reg. No. 30,678; Naomi Voegtli, Reg. No. 44,371; Jurgen K. Vollrath, Reg. No. 49,098; my patent attorneys and my patent agents, of SAP AG; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.



Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability.

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information, which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
 - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
- (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.